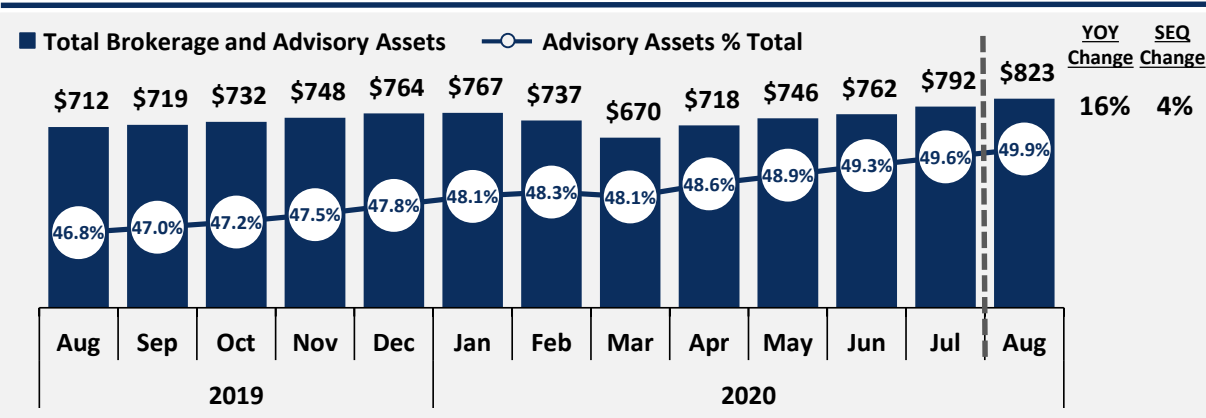


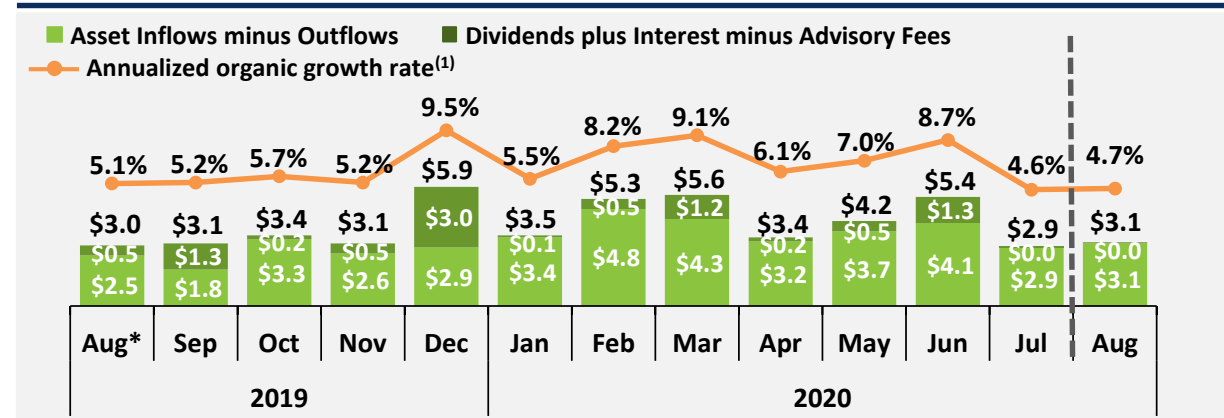
LPLA August 2020 Monthly Metrics Dashboard

(Posted September 15, 2020)

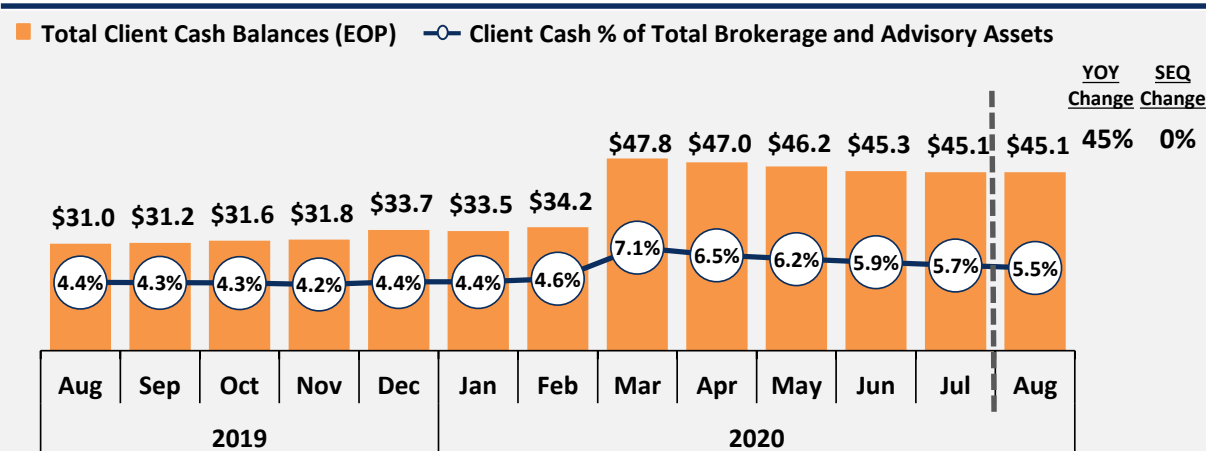
Total Brokerage and Advisory Assets (\$ billions)



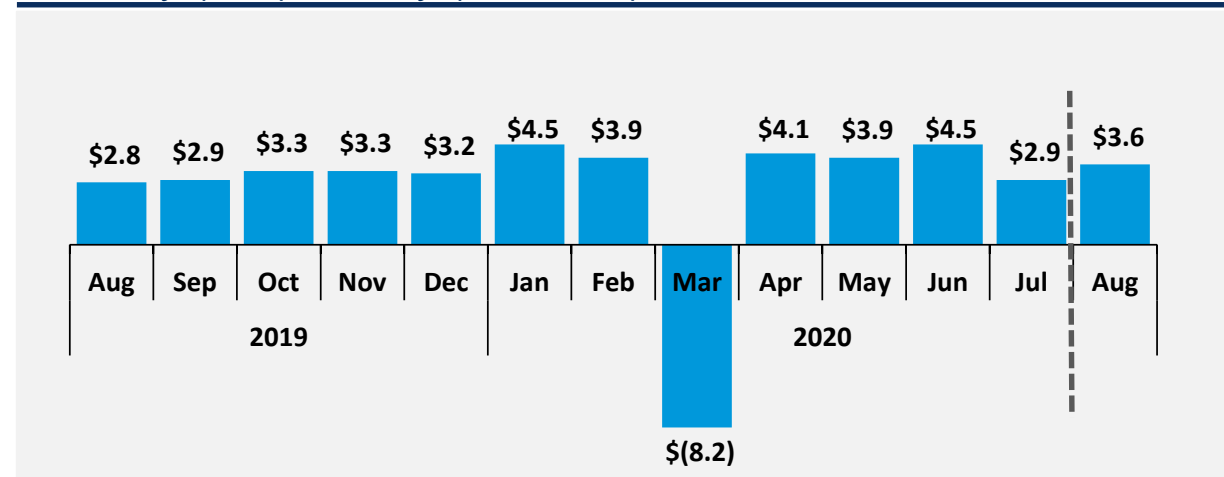
Total Net New Assets (\$ billions)



Client Cash Balances (\$ billions)



Net Buy (Sell) Activity (\$ billions)



(1) Calculated as current period Total Net New Assets multiplied by twelve, divided by preceding period Total Brokerage and Advisory Assets.

*Total Net New Assets for August 2019 are prior to inflows from the acquisition of Allen & Company, which closed in August of 2019. Including the acquisition of Allen & Company, Total Net New Assets for August 2019 were \$5.9 billion, of which \$5.3 billion were Asset Inflows minus Outflows and \$0.5 billion were Dividends plus Interest minus Advisory Fees.