

# LPL Financial Termination of Authorization Pursuant to PTE 86-128 for Employee Benefit Plans

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## Authorization

Pursuant to your agreement for participation in the Strategic Asset Management I ("SAM I") or Optimum Market Portfolios ("OMP") Programs (the "Program"), you have authorized LPL Financial LLC ("LPL") to collect certain transaction-related fees in connection with brokerage transactions under the Program for your employee benefit plan account (the "Plan"), as permitted by Prohibited Transaction Class Exemption 86-128 under ERISA (as amended and restated effective June 9, 2017).

This authorization is terminable at will by the Plan and, without any penalty to the Plan. However, the termination of such authorization will result in the termination of your participation in the Program. In order to terminate this authorization you may (i) call your Financial Advisor, or (ii) complete and mail this form to the following address:

LPL Financial  
4707 Executive Drive  
San Diego, CA 92121

Your authorization to allow LPL to collect certain fees in connection with brokerage transactions under the Program will continue unless you provide notice to LPL to terminate such authorization in the manner set forth above.

Retirement Account Name

Account Number

Address

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## Signature

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Authorized Name (print)

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

